



#section 3

005217.P029

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Catherine Bahn )  
Serial No.: 09/823,946 )  
Filed: March 30, 2001 )  
For: SYSTEM AND METHOD TO PROVIDE AUDIO )  
ENHANCEMENTS AND PREFERENCES FOR )  
INTERACTIVE TELEVISION )

Box Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed May 15, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$1,234.00 in payment of the filing fee and surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Transmittal for Formal Drawings; and
- (5) Formal Drawings.

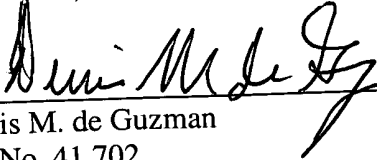
If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: \_\_\_\_\_

6/1/01

  
Dennis M. de Guzman  
Reg. No. 41,702

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(425) 827-8600

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.  
20231 on June 1, 2001

  
Melanie Besecker  
Date 06/1/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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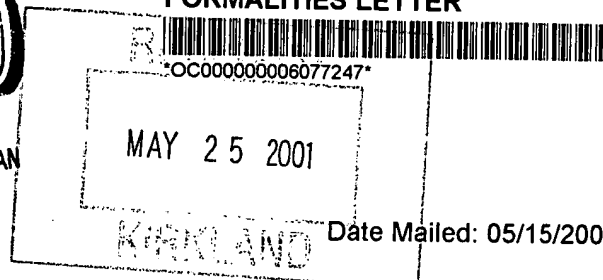
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,946	03/30/2001	Catherine Bahn	005217.P029

CONFIRMATION NO. 7596

Dennis M. de Guzman  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

**RECEIVED**  
MAY 22 2001  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

## FORMALITIES LETTER



Date Mailed: 05/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/08/2001 SMINASS1 00000100 09823946

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	234.00 OP
03 FC:102	160.00 OP
04 FC:105	130.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$394.
  - \$234 for 13 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1234.**

**ENTERED****MAY 22 2001****STAT**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Catherine Bahn

Serial No.: 09/823,946

Filed: March 30, 2001

For: SYSTEM AND METHOD TO PROVIDE AUDIO  
ENHANCEMENTS AND PREFERENCES FOR  
INTERACTIVE TELEVISION

**TRANSMITTAL OF FORMAL DRAWINGS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

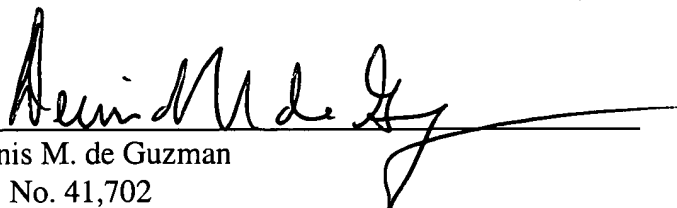
Dear Sir:

Enclosed herewith for filing in the above-identified U.S. patent application are the formal drawings, Figures, 1, 2, 3, 4, 5, 6, 7 and 8 (4) sheets.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN, LLP

Date: 6/1/01

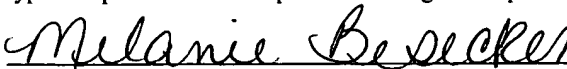
  
Dennis M. de Guzman  
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(425) 827-8600

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents and Washington, D.C. 20231, on June 1, 2001 by

**Melanie Besecker**

typed or printed name of person mailing correspondence

 6-1-01  
Signature Date